**MSC and ASC Chain of Custody Certification**

***Application Questionnaire***

Dear applicant for MSC and/or ASC CoC certification,

Thank you for inquiring about the SGS MSC and ASC CoC certification program.

With this document you will find:

* A copy of the ‘MSC CoC Standard V3’ which is also applicable for ASC.
* A copy of the ‘Annex BD Additional Chain of Custody Requirements’ which too is applicable for ASC.

Information about MSC CoC certification and FAQs can be found on the MSC website: [link](http://www.msc.org/documents/get-certified/get-certified-chain-of-custody)

Information about the use of the logo can also be found on the MSC website: [link](http://www.msc.org/documents/logo-use). Prior use of the eco label and consumer facing trademarks, a license agreement with MSCI is required.

Information about ASC CoC FAQs can be found on the ASC website: [link](http://www.asc-aqua.org/)

Information about the use of the logo can also be found on the ASC website: [link](http://www.asc-aqua.org/index.cfm?act=tekst.item&iid=252&lng=1).

Prior use of the eco label and consumer facing trademarks, a license agreement with MSCI is required.

After certification, your information (company name, address, contact persons and scope of certification) will be made public on the MSC website, in the supplier directory [link](http://cert.msc.org/supplierdirectory).

The completion of this application form is mandatory.

Please return it to your SGS contact enabling us to prepare a proposal for our services.

**SGS Product & Process Certification**

**Malledijk 18**

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**The Netherlands**

MSC Fishery Programme

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E-mail: msc@sgs.com

Website: [www.sgs.com](http://www.sgs.com)

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| **Client Details** | | |
| **Company** | Name |  |
| **Visiting address** | Street and number |  |
|  | City |  |
|  | State/County |  |
|  | Country |  |
|  | Postal Code |  |
| **Postal Address** | PO Box |  |
|  | City |  |
|  | State/County |  |
|  | Country |  |
|  | Postal Code |  |
| **Phone** | Phone number |  |
| **Fax** | Fax Number |  |
| **Website** | Site |  |
| **Contact Person -**  **Quality management** | Name |  |
| E-mail | Mail Address |  |
| **Contact Person - Sales** | Name |  |
| E-mail | Mail Address |  |

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| **Line of business** | | |
| **Is your organisation a vessel?** | If yes: Which fishery is your product originating from? |  |
| **Is your organisation a fish auction?** | If yes: Which fishery is your product originating from? |  |
| **Is your organisation a wholesale marker where buyers and sellers meet?** |  |  |
| **Does your organisation take ownership of the products?** |  |  |
| **Does your organisation process or pack for other organisations without obtaining ownership?** |  |  |
| **Is your organisation involved in retail to consumer activities?** |  |  |

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| **Size of the operation** | What is the total number of employees? |  |
| What is the total number of sites? |  |
| How many employees work in the largest site? |  |

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| **Potential scope:** | | |
| **Species** | Please mention all relevant species |  |
| **Activity** | Please select | Contract Processing, Distribution, Harvest, Packing or Repacking, Processing, Restaurant/Take Away to Consumer, Retail to Consumer, Storage, Trading Fish (Buying/Selling), Transportation, Wholesale, Aquaculture |

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| **Under assessment fish:** | | |
| **Under Assessment Fish** | Do you want to handle ‘under assessment fish’. Products originating from a fishery/farm currently being assessed, in other words: not certified yet. |  |

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| **Consumer ready tamper proof products:** | | |
| **Consumer ready tamper proof products** | Are **all** your traded products considered consumer ready, tamper proof? If **yes**, please provide evidence |  |
|  | If the answer to above question is ‘yes’: Are you involved in food service and will only open the packages for heating or presentation purposes? |  |

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| **Certification options** | | | | |
| 🞏 | MSC CoC | |  | |
| 🞏 | MSC CoC + ASC CoC | | Standalone ASC CoC certification not possible | |
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| 🞏 | Single site certification | |  | |
| 🞏 | Multi site certification | | All sites will be audited according to the single site certification requirements. Please complete the section “site list” | |
| 🞏 | Group certification | | Audits according to the group certification requirements. Please complete the sections “group certification details” and “site list” | |
|  | | | | |
| **Management systems already in operation** | |  |  | Auditing Body (Name) |
| MSC | 🞏 Yes 🞏 No |  |
| MSC+ASC | 🞏 Yes 🞏 No |  |
| HACCP | 🞏 Yes 🞏 No |  |
| ISO 9001 | 🞏 Yes 🞏 No |  |
| ISO 14001 | 🞏 Yes 🞏 No |  |
| SQF | 🞏 Yes 🞏 No |  |
| BRC | 🞏 Yes 🞏 No |  |
| IFS | 🞏 Yes 🞏 No |  |
| Organic | 🞏 Yes 🞏 No |  |
| Other |  |  |
| **Was your company ever denied MSC/ASC certification? Or did your company ever have their MSC/ASC certificate suspended?** | | | | 🞏 Yes 🞏 No |
| **If you have ever been certified for MSC/ASC before, please mention your MSC CoC and/or ASC CoC code.** | | | |  |
| **Would you like SGS to include the above mentioned audits in the proposal?** | | | |  |
| **Preferred date(s) for certification audit:** | | | |  |

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| **Signature** |  | **Date** |  |
| **Name & Title** |  | | |

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| **Group certification (if applicable):** | | |
| **Group entity (head office)** | Is the head office a legal entity? |  |
| Is the head office managing the buying of seafood? If no, please explain. |  |
| Will the group entity have performed a full cycle of internal audits at the time of the initial certification audit? |  |
| Will the group entity have performed a management review at the time of initial certification audit? |  |
| **Group members (sites)** | How many sites are in the group? |  |
| Are sites owned by the group entity? |  |
| Do sites spread over different geographic regions? If yes: how many in which region? |  |
| Do sites undertake similar activities as listed in question 4? |  |
| Alternatively, can sites be divided in groups with similar activity? If yes: specify how many in which activity? |  |
| Is the same written language used in all sites? |  |
| Will similar, but non-certified, species be handled by the sites? |  |

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| **Site list**  *Only applicable for multisite & group certification, add more rows if necessary* | | | | | |
| **Site company full name** | **Street** | **Postal code** | **Town** | **Country** | **Scope**  **(most relevant activity)** |
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